1. Introduction

Noble metal nanoclusters are one of the most fascinating areas of research in contemporary materials science. Several metals have been used in this context among which gold (Au) and silver (Ag) have drawn the most attention. Most cluster chemistry is concerned with monolayer protected clusters. Thiol ligands are of greater interest as the Au–S bond is strong and by changing the ligand (thiol), the properties of the clusters can be altered. Many thiol protected Au and Ag clusters have been reported and some of them have been crystallized. Examples include: \( \text{Au}_{25}(\text{SR})_{18} \), \( \text{Au}_{36}(\text{SR})_{24} \), \( \text{Au}_{38}(\text{SR})_{24} \), \( \text{Au}_{102}(\text{SR})_{44} \), and \( \text{Ag}_{44}(\text{SR})_{30} \). Three types of modifications are possible on such monolayer protected clusters: (i) ligand exchange, (ii) alloying of the core and (iii) alloying and ligand exchange simultaneously. Both of these have been studied in detail for monolayer protected Au clusters. Some of these chemically modified clusters have been crystallized. Macromolecular templates are another platform for synthesizing such clusters. DNA, dendrimers and most recently proteins have been used as templates for cluster formation. The most often used proteins are bovine serum albumin (BSA), human serum albumin, lactoferrin (Lf), lysozyme (Lyz), insulin and so on. Major characterization methods of such clusters involve mass spectrometry (MS), but matrix-assisted laser desorption/ionization (MALDI) is mainly used, as none of these clusters could be crystallized so far. The general synthetic route of such clusters involves adduct formation between the protein and the metal and subsequent reduction of the ion to the M(0) state at an elevated pH. During the core formation, inter-protein metal ion transfer takes place which leads to the regeneration of the free protein. As the core evolves to a bigger size, more and more free protein regeneration can be seen. A variety of cores have been reported, depending on the size and structure of the protein. For smaller proteins such as Lyz, core compositions have been confirmed from the aggregates (formed via inter-protein interaction through a salt bridge). For a single protein, by changing the experimental conditions and using different parameters, one can modify the core size as shown in a recent study by predefining the protein structure at a specific pH. Different methods have been tried for modifying the core and shell composition such as core etching, a sonochemical method, microwave synthesis, slow reduction by carbon monoxide, and so on. Such clusters exhibit intense luminescence which is highly sensitive to the presence of foreign elements which can interact and quench the luminescence. This luminescence property has been applied for sensing metal ions and small molecules, as well as in vitro and in vivo imaging and labeling. A few of the proteins are known to retain biological activity even after cluster formation, indicating that the...
protein remains as it was and the cluster formation does not occur via the involvement of the active site of the protein. The presence of another protein might help in enhancing the Förster resonance energy transfer (FRET) probability and thus, the quantum yield may be increased. This could possibly be following the ligand exchange procedure used for monolayer protected clusters where after ligand exchange, properties of both the ligands can be monitored. Niihori et al. have separated all the ligand exchange products of Au_{25}(SR)_{18} − d(SR)_{20} (x = 0, 1, 2...18) by chromatography and characterized them using MS. The supramolecular chemistry of such clusters via ligand exchange by thiolated calixarene has been studied in detail. Taking advantage of ligand and cyclodextrin inclusion complex formation, Mathew et al. have recently shown that supramolecular chemistry is possible for such Au_{25}(SR)_{18} clusters. Exchanging the core with other metals can also enhance the physico-optical properties significantly as shown by Wang et al., where they have exchanged Ag with Au and obtained 200-fold increases in the luminescence (41% quantum yield).

There are reports of ligand exchange and mixed ligand protection for monolayer protected clusters. Recently, ligand exchange of Au_{25}(SR)_{18} was separated using high-performance liquid chromatography and each of the exchanged products were observed using MALDI-MS. Although a mixed protein matrix such as egg shell membrane and hair fibre were used for cluster synthesis, none of these clusters could be characterized to get an idea about their precise core size. Proteins are macromolecules and they behave differently to small thiol ligands. Protein–protein interaction has been studied by biologists and this has strong biological implications. This kind of interaction is very specific and occurs only between specific proteins. Following the ligand exchange of monolayer protected clusters, the effect of addition of an external protein on a preformed protein protected cluster is reported in this paper.

Most of the previous reports have claimed that the luminescence of protein protected clusters is because of FRET between the protein and the cluster. Compared to monolayer protected Au clusters, protein protected clusters have a higher quantum yield but the yield is still not very promising unlike that obtained for the fluorescent dyes (15% for protein protected clusters whereas dyes show more than 95%). Keeping this in mind, an attempt to ligand exchange protein protected clusters was made, where it was hoped to see different properties by protein exchange. More interestingly, we expected to be in a position to regulate the properties of the proteins as well as the cluster core.

In this paper is reported the formation of highly fluorescent Au quantum clusters with the highest quantum yield (42.4%) in a mixed protein system. Two differently sized proteins, namely BSA and Lyz, were used for this study and the reactants as well as the products were probed using MS and optical spectroscopic techniques. A new cluster core, Au_{36}, protected with both BSA and Lyz was obtained with a four-fold increase in the fluorescence intensity which leads to a 42.4% quantum yield for this system.

2. Experimental

2.1. Reagents and materials

Bovine serum albumin at pH 6–7 was purchased from SRL Chemical Co. Ltd., India. Lysozyme and sinapic acid were purchased from Sigma-Aldrich. Tetrachloroauric acid trihydrate (HAuCl_4·3H_2O) was purchased from CDH, India. Silver nitrate and sodium hydroxide (NaOH) were purchased from Rankem, India. Sodium borohydride (NaBH_4) was purchased from Spectrochem, India. All the chemicals were used without further purification. Milli-Q water was used for all the experiments.

2.2. Instrumentation

Luminescence measurements were carried out using a Jobin Yvon NanoLog spectrofluorometer. Both the excitation and emission spectra were collected with a band pass of 3 nm. MALDI-MS studies were performed using an Applied Biosystems Voyager-DE PRO Biospectrometry Workstation. A pulsed nitrogen laser of 337 nm was used for the MALDI-MS studies. Mass spectra were collected in linear positive mode and an average of 250 shots was used for each spectrum. High resolution transmission electron microscopy (HRTEM) was performed on a Jeol JFD 3010, a 300 kV instrument, equipped with an ultra high resolution pole piece. The samples for HRTEM were prepared by dropping the dispersion on a carbon coated copper grid. Scanning electron microscopy (SEM) and energy dispersive analysis of the X-ray (EDAX) images were carried out using an FEI QUANTA 200 SEM. Samples were spotted on an indium tin oxide conducting glass substrate and dried in ambient conditions prior to SEM and EDAX measurements. X-ray photoelectron spectroscopy (XPS) studies were carried out using an Omicron ESCA probe spectrometer with polychromatic Mg Kα X-rays (hν = 1253.6 eV). The samples were spotted as drop cast films on a sample stub.

2.3. Synthesis

BSA and Lyz were mixed in a 1 : 1 molar ratio and stirred for 10 min. To this 1 mL of 6 mM HAuCl_4 was added. The solution was stirred for another 15 min. Then 100 μL of 1 M NaOH was added to this mixture, and it was stirred further for 12 h to get a clear brown coloured solution. All the samples were taken directly from the reaction mixture for MALDI-MS and other characterization studies. Silver clusters were prepared keeping the protein and Ag concentration exactly the same as those used for the Au cluster synthesis. In this case, NaBH_4 was used as the external reducing agent.

3. Results and discussion

3.1. Gold cluster formation in a mixed protein matrix

Monolayer as well as protein protected Au and Ag clusters were studied extensively. Protein protected clusters were typically synthesized by mixing a preferred ratio of protein and metal ions to form a metal bound protein adduct and followed by reduction of the adducts at a basic condition (pH 12). At this
pH, the protein unfolds [seen in circular dichroism] and disulfide bonds between the cysteine residues break. In a system containing two different proteins, the inter-protein disulfide bonds may create new inter-protein adducts. In these reactions there are a number of different types of possibilities and these are: (i) large protein-large protein, (ii) large protein-small protein and (iii) small protein-small protein. In this paper, the first two possibilities were studied. If, a large protein with a mass of 83 kDa and Lyz, a small protein with a mass of 14.3 kDa were chosen in combination with BSA to study the exchange.

Isolated Au and Ag clusters protected with BSA as well as Lyz were characterized thoroughly using several spectroscopic and mass spectrometric tools. They show well defined mass spectral signatures as observed from MALDI-MS analysis. The core size of such clusters is assigned by considering the mass shift from the parent protein after cluster formation. In most of the cases, core size depends on the concentration of protein and metal ions in the solution and varies linearly with metal concentration. At a specific Au concentration, \( \text{Au}_{30} \text{BSA} \) forms (1 : 16 BSA : Au+ molar concentration), whereas an Au10 core is observed for Lyz (1 : 4 Lyz : Au+ molar concentration).

A physical mixture of 1 : 1 (75 \( \mu \)M) BSA and Lyz was used and the mixture was incubated in the presence of 6 mM Au3+ for a few minutes to allow reduction of Au3+ to Au+ by the aromatic amino acids of the proteins and subsequently NaOH was added. Formation of clusters was confirmed from the appearance of a slight red luminescence under ultraviolet (UV) light after 4 h of incubation. The mixture was further incubated for up to 12 h to allow the complete conversion of Au+ to Au0. Fig. 1 shows the comparison of the MALDI-MS spectra of BSA-Lyz and Au3+@BSA-Lyz in linear positive ion mode. In the 60–100 kDa mass range, the BSA–Lyz mixture showed three peaks corresponding to BSA+, BSA–Lyz+ and BSA–Lyz2+ (see next for details). During cluster formation, a new peak appeared at 88.3 kDa together with \( \text{Au}_{10} \text{BSA} \) at 72 kDa (see ESI Fig. S1† for the MALDI-MS of \( \text{Au}_{10} \text{BSA} \)). A corresponding +2 charged species was observed at 44.1 kDa. If it is assumed that there was adduct formation between the proteins, the new peak can be assigned as \( \text{Au}_{16} \text{BSA} \). The peak position remained the same after 24 h as well as at 48 h of incubation indicating the formation of a stable species in the solution. The possibility of formation of Au16 in monolayer protected clusters was reported previously. In the lower mass region (<20 kDa), a few Au attachments were observed with Lyz together with the fragments of BSA and Lyz (see ESI Fig. S2†).

### 3.2 Identification of mixed protein aggregates by MALDI-MS

In order to check the formation of BSA–Lyz adducts in specific reaction conditions, several control experiments were performed and the presence of this species was verified using MALDI-MS. The mass spectra of: (i) pure BSA, (ii) Au+–BSA adduct, (iii) Lyz, (iv) Au+–Lyz adduct, (v) BSA and Lyz mixture in presence of NaOH and (vi) BSA and Lyz mixture, are shown in Fig. 2. The molecular ion peak of BSA appears at 66.7 kDa as shown in spectrum (i). Corresponding a doubly charged species at 33.3 kDa was also observed. Beyond 66.7 kDa, only the dimer of BSA was observed at 133.4 kDa and no peak was observed in the mass range of 70–100 kDa. Once Au3+ was added to the BSA solution, the main protein peak was shifted by 3.2 kDa as shown in (ii) and the resulting adduct was assigned to \( \text{Au}_{16} \text{BSA} \). In this state, Au is in the +1 oxidation state (as revealed by XPS). The monomer of Lyz shows a peak at \( m/z \) 14.3 kDa and the corresponding doubly charged species was observed at \( m/z \) 7.2 kDa. Lyz is known to form aggregates in solution and a maximum aggregate of a hexamer of individual protein was observed in the mass range studied. The corresponding dimer, trimer and tetramer peaks were observed at \( m/z \) 28.6, 42.9 and 57.2 kDa, respectively (see ESI Fig. S3†). Pentamers and hexamers were observed at \( m/z \) 71.5 and 85.8 kDa, respectively, as shown in (iii). Beyond this the peak intensity was not significant. The Au+–Lyz adduct showed multiple Au attachments to the protein monomer as well as to the aggregates. The peaks were separated by a peak at \( m/z \) 197 which was because of Au and a maximum of up to 10 Au attachments to Lyz were observed (see ESI Fig. S4†). In the lower mass range, gas phase bare clusters were observed, which were reported previously.
When BSA was mixed with Lyz, several possibilities exist such as Lyzm \((m = 1, 2, 3, 4), \text{BSA}_n (n = 1, 2)\) and Lyzm\(_{BSA}_n (m = 1, 2; n = 1, 2). All these species were identified using MS in the higher mass region \((m/z 80-150 \text{ kDa})\). Two distinct peaks were observed at \(m/z 81.0 \text{ kDa}\) and 95.3 kDa, which appear to be spaced successively at 14.3 kDa from the BSA peak \((m/z 66.7 \text{ kDa})\). A similar 14.3 kDa separation was observed in the BSA dimer region (see ESI Fig. S5†) beyond which, because of poor resolution and reduced intensity, other peaks could not be resolved. This separation clearly indicates the possibility of adduct formation between BSA and Lyz. This adduct formation can be explained in terms of the aggregation tendency of Lyz. As was mentioned earlier, Lyz forms aggregates and those can be seen in both MALDI and ESI-MS spectra.\(^{14} \)

### 3.3. Spectroscopic and microscopic characterizations

The presence of Au along with carbon, oxygen, nitrogen, sulfur (S), sodium and chloride was confirmed from the SEM/EDAX spectrum. A \(\text{Au}:\text{S}\) ratio (atomic%) of about 1:2 was observed in this case. Elemental mapping of gold using \(\text{M}_2\) and sulphur using \(\text{K}_2\) show these elements to be uniform in the sample. The presence of clusters in both the proteins \(\sim\text{Au}_{36}@\text{BSA–Lyz}\) was further confirmed by XPS analysis which showed the presence of a metallic core (Fig. 3E). An Au 4f\(7/2\) peak appears at 84.1 eV which shows the existence of a zero valent state of the metal. A similar binding energy was observed for \(\text{Au}_{35}@\text{Lyz}\).\(^{24}\) A time dependent XPS study during the cluster formation and the corresponding MALDI-MS data was reported by Chaudhari et al., where they showed how a protein–metal complex converts to a zero valent state form during cluster formation and the appearance of a brown colour after the addition of the reducing agent also indicates the reduction of the metal precursor during the cluster formation.\(^{21}\) The S2P\(\text{z}\) peak at 163.3 eV (see ESI Fig. S6†) confirmed the Au–S bonding, through the cysteine residues of the proteins. Protein protected clusters do not show well defined absorption features such as monolayer protected clusters.

Although the ultraviolet-visible (UV-Vis) technique is not useful for determining the size of the cluster in protein systems, it does help to rule out the possibility of the presence of plasmonic nanoparticles in the solution. UV-Vis spectra show a peak at \(\sim 280 \text{ nm}\) which is because of the presence of aromatic amino acid groups in protein systems (see ESI Fig. S7†). In the case of \(\sim\text{Au}_{36}@\text{BSA–Lyz}\), a similar type of absorption feature was observed at 280 nm. In addition, a broad hump was observed at 510 nm which had not been observed before for any such protein protected clusters.

HRTEM analysis of the new cluster showed the core size to be about 1.2 nm as shown in Fig. 4A. However, investigation of the cluster size using HRTEM analysis is not accurate as the electron beam induces the growth of clusters in such soft materials. But this technique helps to determine the approximate size of the samples. Because this is a high resolution instrument with point to point resolution of 0.12 nm, the approximate size is 1.2 ± 0.1 nm. In the present study, this technique showed the absence of bigger plasmonic nanoparticles in the sample. It is important to note that the size of the new species in both these proteins \(\sim\text{Au}_{36}@\text{BSA–Lyz}\) is similar as in the case of individual protein protected clusters. No bigger nanoparticle was found using TEM. Absence of a plasmonic peak in the absorption spectrum also supported the HRTEM data. The photographs of \(\sim\text{Au}_{36}@\text{BSA–Lyz}\) together with individual protein protected clusters under UV as well as visible light are shown in Fig. 4B.

### 3.4. Enhanced photoluminescence and mechanism of cluster formation

The photoluminescence spectra of the parent clusters together with \(\sim\text{Au}_{36}@\text{BSA–Lyz}\) are shown Fig. 4C. The emission intensity of \(\sim\text{Au}_{36}@\text{BSA–Lyz}\) showed three- and four-fold enhancement when compared to the individual \(\text{Au}_{10}@\text{Lyz}\) and \(\sim\text{Au}_{30}@\text{BSA}\), respectively, when all the clusters were excited at 365 nm keeping the overall protein concentration the same for all the cases. The calculated quantum yield of \(\sim\text{Au}_{36}@\text{BSA–Lyz}\) was

![Fig. 3](image-url)  
(A) The SEM/EDAX spectrum of \(\sim\text{Au}_{36}@\text{BSA–Lyz}\) together with quantification data. (B) SEM image of a cluster sample. (C and D) EDAX images of the same showing the presence of Au and S. (E) XPS spectrum of \(\sim\text{Au}_{36}@\text{BSA–Lyz}\) confirms the presence of Au in the metallic state.

![Fig. 4](image-url)  
(A) HRTEM image of \(\sim\text{Au}_{36}@\text{BSA–Lyz}\) shows a core size of 1.2 ± 0.1 nm. An expanded view is shown in the inset. Some of the clusters are shown in a circle. (B) Photographs of \(\sim\text{Au}_{36}@\text{Lyz}\) (1), \(\sim\text{Au}_{36}@\text{BSA}\) (2) and \(\sim\text{Au}_{36}@\text{BSA–Lyz}\) (3) under UV and visible light. (C) Three-fold enhancement in emission intensity of \(\sim\text{Au}_{36}@\text{BSA–Lyz}\) compared to clusters protected by single proteins was seen, when excited at the same wavelength and at the same metal and protein concentrations.
metal enhanced luminescence of AuQC@BSA in the presence of 
explained in terms of FRET. Muhammed higher intensity was observed with 365 nm excitation which was 
FRET donor and the cluster can be the acceptor. In order to 
interaction of both the proteins separately and those interactions with the protein protected clusters. But in none of 
the bulky nature of the proteins, which restricts them to form inter-protein adducts.

3.5. Silver clusters

Similar studies were also performed for Ag clusters where concentration dependent growth in the cluster core was observed. For a similar concentration of Ag precursor, ~Ag23@BSA and ~Ag31@BSA–Lyz were observed. In the Lyz region, 8 Ag attachments to Lyz were observed. A concentration-dependent study was performed by varying the Ag concentration (final concentrations were 2, 3 and 4 mM) and keeping the protein concentration the same. ~Ag16@BSA, ~Ag24@BSA and ~Ag34@BSA were observed in the BSA region, for 2, 3 and 4 mM Ag concentrations, respectively (Fig. 6).

A similar mass shift with respect to Ag concentration was also reflected in the mixed protein adduct mass region where ~Ag25@BSA–Lyz, ~Ag35@BSA–Lyz and ~Ag42@BSA–Lyz were

![Fig. 5](image-url)

Fig. 5 Different volumes of Lyz solution (75 µM) were added to pre-formed AuQC@BSA with regular increases in volume by 50 µL. No mass shift was observed in the Lyz region other than an increase in the Lyz peak intensity as shown in A. The Lyz peak has a shoulder and that may be because of a few Au attachments. The main cluster peak in the BSA region splits into two small humps separated by 5 Au and 16 Au from the parent BSA peak. The BSA–Lyz adduct and the Au8@BSA–Lyz and ~Au32@BSA–Lyz were observed in the higher mass spectral region.
observed for concentrations of 2, 3 and 4 mM Ag, respectively (see ESI Fig. S8†). XPS analysis of this system also showed the presence of a metallic Ag core where Ag is in the Ag0 state. Also, in this case, increased emission intensity comparable with Au was observed.

By comparing the data obtained for Au and Ag, it can be seen that the mass shift is always more for the mixed protein than for the individual protein. For similar concentrations of Au and Ag, Au30 and Ag35 cores can be achieved, protected by BSA alone. The other peak (at a higher mass range) was shifted by 36 Au and 35 Ag from the combined mass of BSA and Lyz. If the probabilities mentioned previously are narrowed down, only two possibilities exist: (i) \(^{\sim}\)Au36/Ag27@BSA + \(^{\sim}\)Au6/Ag8@Lyz or (ii) \(^{\sim}\)Ag36/Ag15@BSA + Lyz. Considering the PL data together with the MS findings, a four-fold enhancement in emission intensity (in the case of Au) was observed which can be attributed to the presence of multiple cluster cores in the system which increases the probability of FRET. In the other case, where the presence of a single core protected by multiple protein is considered, proximity of two different proteins attached to a single core can also enhance FRET efficiently. From the TEM, pairs of clusters separated by a couple of nanometers are not seen, which would support the presence of a single cluster core, while a consequent decrease in the nuclearity of the cluster appears to suggest the idea of the presence of a multiple core. Thus, the mechanism requires further investigation which can be resolved only by crystallization of all the species.

4. Summary and conclusions

In summary, the synthesis of Au clusters protected by mixed protein systems with high quantum yield has been demonstrated. Such clusters were prepared by mixing Au3+ with a mixture of both the proteins which were then subsequently reduced to Au0 at pH 12. Using MALDI-MS, the cluster was assigned as \(^{\sim}\)Au36@BSA–Lyz. Similar observations were also made for the Ag system, \(^{\sim}\)Ag36@BSA–Lyz. The existence of mixed proteins in the form of an adduct was confirmed by extensive MS investigations and control studies. XPS analysis revealed that the Au cluster protected by the mixed protein system is in zero valent state. HRTEM analysis of these clusters showed that the core size is about 1.2 nm which is in agreement with the size of clusters protected by individual proteins. A four-fold enhancement in the emission intensity of \(^{\sim}\)Au36@BSA–Lyz was observed, when compared to that of the individual clusters when excited at the same wavelength. The quantum yield of \(^{\sim}\)Au36@BSA–Lyz was found to be 42.4% which is extremely high compared to that of the already reported clusters. Proximity of two different proteins around a core can enhance FRET and thus, the emission intensity. The presence of multiple cluster species in solution or cluster protected by mixed protein systems might be another reason for such a high quantum yield. Understanding the system in detail is limited by several factors. Separation of the specific entity from the mixture of free proteins and other clusters can help to understand the system in greater detail. Similarly studies such as small angle X-ray scattering and spectroscopy may help to understand the system in more detail, which is part of our future investigations. Such a system can be used for sensing ultralow levels of analytes, because of the sensitivity of protein protected clusters to a range of analytes. Being bio-compatible, these can be used as fluorescent tags and can replace fluorescent dyes for staining biological entities and tracking biomolecules in real systems.

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